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Dkt. No. 09650/100L361-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Niro Nakamichi

Serial No.:

10/717,274

Filed:

November 18, 2003

For:

ACOUSTIC DEVICE

February 2, 2004

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the

above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- 1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed: (Check one of the boxes A-D)
 - [X]A. Within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application.
 - [] B. before the mailing date of a first office action on the merits, or a first office action after filing a request for continued examination.
 - [] C. after (A) and (B) above, but before the mailing date of a final rejection, a notice of allowance, or any other action that closes prosecution, and Applicants have made the necessary statement in box "i" below or paid the necessary fee in box "ii" below.

(check one of the boxes "i" and "ii" below:)

1

- [] i. Counsel states that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS; or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
- [] ii. A check for the fee set forth in 1. 17(p), presently believed to be \$180, is enclosed.
- [] D. after (A), (B) and (C) above, but before payment of the issue fee: Counsel states that, upon information and belief, each item of information listed herein was either (i) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS; or (ii) was not cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.
 - [] i. A check for the fee set forth in 1.17 (p), presently believed to be \$180, is enclosed.

2. In accor	dance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form
PTO/SB/08A) of	all patents, publications, or other information submitted for
consideration by t	he office, either incorporated into this IDS or as an attachment
•	each document listed is attached, except as explained below.
* *	s A and/or B and fill in blanks, if appropriate.)
	Document(s)is (are) deemed substantially
u · · ·	cumulative to document(s), and, in accordance with
•	1.98(c), only a copy of each of the latter documents is enclosed
[X] B.	Certain documents were previously cited by or submitted to the
	Office in the following prior applications, which are relied upon
	under 35 U.S.C. 120:
	09/705,496 filed November 3, 2000
Applicant Identifies	s these documents by attaching hereto copies of the forms PTO-
892 and PTO- 144	9 from the files of the prior application(s) or a fresh PTO/SB/08A
listing these docum	nents, and request that they be considered and made of record in
=	.98(d). Per 37 CFR 1.98(d), copies of these documents need not
be filed in this appl	
• •	ment Nos are not in the English language. In
	.98(c), Applicant states:
	An English translation of each document (or of the pertinent
	portions thereof), or a copy of each corresponding English-
	language patent or application is enclosed.
[]	A concise explanation of the relevance of document(s)
	is found in the attached search report (see MPEP § 609 A(3)x).
[]	A concise explanation of the relevance of document(s)
	is set forth as follows: [Insert concise explanation of relevance]
[]	A concise explanation of the relevance of document(s)
u	can be found on pages of the specification.
[]	A concise explanation of document(s) can be found on
	the attached sheet.

- [] 4. No explanation of relevance is necessary for documents in the English language (see MPEP § 609 A(3)).
- [] 5. Other information being provided for the examiner's consideration follows:
- 6. In accordance with 37 C.F.R. 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search has been made or that information cited is, or is considered to be, material to patentability as defined in §1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless other-wise indicated, the date of publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of publication is in fact different.

CROSS REFERENCE UNDER 37 C.F.R. §1.78 TO RELATED APPLICATIONS

Pursuant to 37 C.F.R. § 1.78, Applicant notes that the above-identified patent application is a divisional application of the following U.S. Patent Application:

(1) U.S. Patent Application Serial No <u>09/705,496</u>, filed <u>November 3, 2000</u>. Early and favorable consideration is earnestly solicited.

Respectfully submitted,

FLYN BARRETON REG. No. 53,970

Louis J. DelJuidice

Registration No. 47,522 Attorney for Applicant(s)

DARBY & DARBY P.C. 805 Third Avenue New York, N.Y. 10022 (212) 527-7700

February 2, 2004

PTO/SB/08a/b (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Su	bstitute for form 1449A/B/	1449A/B/PTO			Complete if Known		
				Application Number	10/717,274		
	NFORMATIO	N DI	SCLOSURE	Filing Date	November 18, 2003		
S	TATEMENT	BY A	APPLICANT	First Named Inventor	Niro Nakamichi		
				Art Unit	N/A		
(Use as many sheets as necessary)				Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	09650/100L361-US1		

	U.S. PATENT DOCUMENTS				
Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA	US-3,736,632-B1	06-05-1973	Barrow	
	AB	US-4,625,328-B1	11-25-1986	Freadman	
	AC	US-4,991,221-B1	02-05-1991	Rush	

		FOREIG	GN PATENT I	DOCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (il known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	۳

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Nich of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
			T

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	
Examiner (Date
Signature C	Considered

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

ication No. (if known): 10/717,274

Attorney Docket No.: 09650/100L361-US1

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Information Disclosure Statement;

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